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6 Attorneys for Defendants
UBS Financial Services Inc. (also sued as UBS/Paine
7 Webber) and UBS PartnerPlus Plan (erroneously sued as
UBS/Paine Webber Partner's Plus)
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9 UNITED STATES DISTRICT COURT
10 NORTHERN DISTRICT OF CALIFORNIA
11 SAN FRANCISCO DIVISION
12

13 Alva Gene Thaning,
14 Plaintiff,
15 v.
16 UBS/Paine Webber, UBS/Paine Webber Partner's
Plus, UBS Financial Services, Inc.,
17 Defendants.
18
19

No. 07-5528 MJJ

**APPENDIX OF SELECT
AUTHORITIES CITED IN
DEFENDANTS' REPLY IN
SUPPORT OF MOTION TO
COMPEL ARBITRATION AND
STAY PROCEEDINGS**

Date: February 26, 2008
Time: 9:30 a.m.
Place: Courtroom 11, 19th Flr.
Judge: Hon. Martin J. Jenkins

1 Defendants UBS Financial Services Inc., (also sued as UBS/Paine Webber) and
2 UBS PartnerPlus Plan (erroneously sued as UBS/Paine Webber Partner's Plus) submit the
3 following select authorities cited in Defendants' Reply in Support of Motion to Compel
4 Arbitration and Stay Proceedings:

5 1. NASD Code of Arbitration Procedure for Industry Disputes § 13904.

7 DATED: February 12, 2008

Bingham McCutchen LLP

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10 By: /s/ Walter M. Stella
11 Walter M. Stella
12 Attorneys for Defendants
13 UBS Financial Services Inc (also sued as
14 UBS/Paine Webber) and UBS/Paine Webber
15 Partner's Plus (erroneously sued as UBS/Paine
16 Webber Partner's Plus)
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